

ANCILE.037A



PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Andrews et al.) Group Art Unit: 1651
App. No. : 10/029,109)
Filed : October 19, 2001)
For : COMPOSITION FOR IMPROVING SLEEP)
QUALITY AND EFFICIENCY, AND)
METHODS OF PREPARING AND USING)
THE COMPOSITION)
Examiner : Lilling, H.J.)

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Enclosed is form PTO-1449 listing references that are also enclosed. This Information Disclosure Statement is being filed before the mailing date of a final action under 37 C.F.R. § 1.113 and before the mailing date of a Notice of Allowance under § 1.311. A certification under 37 C.F.R. § 1.97(e) is set forth below. Thus, no fee is required as set forth below in 37 C.F.R. § 1.97(c).

CERTIFICATION UNDER 37 C.F.R. § 1.97(e)(1)

I hereby certify that each item of information contained in this Statement was first cited in a communication from a foreign Patent Office in a counterpart foreign application not more than 30 days prior to the filing of this Information Disclosure Statement.

Respectfully submitted,

KNOBBE, MARTENS, OLSON & BEAR, LLP

Dated: Nov. 21, 2002

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Case Docket No. ANCILE.037A

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Dear Sir:

Enclosed for filing in the above-identified application are:

- (X) A Information Disclosure Statement in one page.
- (X) A PTO Form 1449 with six (6) references.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment, to Account No. 11-1410.
- (X) Return prepaid postcard.

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